NATIONAL INTEGRITY FRAMEWORK

Air Sport Australia Confederation Competition Manipulation and Sport Gambling Policy

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1. Policy Intent

The purpose of this Policy is to protect the integrity of Air Sports through the prevention, detection, and enforcement of rules relating to the manipulation of Air Sports's sports competitions and associated illicit sports betting activity. Through this Policy, Air Sport Australia Confederation aims to ensure that its core values, good reputation and positive behaviours and attitudes are maintained.

2. Definitions

In this Policy the following words have the corresponding meaning:

Activity means a sporting contest, match, competition, event, or activity (including training), whether on a one-off basis or as part of a series, league, or competition, sanctioned or organised by a Relevant Organisation.

Air Sports means the sports and activities administered by the:

- Australian Ballooning Federation (ABF);
- Gliding Australia (GA);
- Australian Parachute Federation (APF);
- Sports Aviation Federation of Australia (SAFA);
- Australian Aerobatic Club (AAC); and
- Model Aeronautical Association of Australia (MAAA),

Under the delegated authority of the Civil Aviation Safety Authority (CASA) and Federation Aeronautique Internationale (FAI).

Athlete means a person who is registered with or entitled to participate in an Activity.

Benefit means any advantage and is not limited to property¹.

CASA means the Civil Aviation Safety Authority.

CASA Regulated Member means Active Members who are regulated under the Part 149 requirements of CASA, being Gliding Australia, the Australian Parachute Federation, and the Sports Aviation Federation of Australia.

Club means any club that enters a Team to participate in an Activity.

Complaints, Disputes and Discipline Policy means the policy adopted by Air Sport Australia Confederation for the handling and resolution of allegations regarding Prohibited Conduct.

Contractor means any person or organisation engaged to provide services for, or on behalf of, a Relevant Organisation. This includes agents, advisers and subcontractors of a Relevant Organisation and Employees, officers, Volunteers, and agents of the Contractor or subcontractor.

Employee means a person employed by a Relevant Organisation.

Inside Information means any information connected to the conduct, management or organisation of a sporting event that is not generally available and if it were generally available, the information, would, or would be likely to, influence a person's decision to bet on the sporting event or in making any other betting decision.

Member means a member of a Relevant Organisation, including:

(a) Member Organisations, which includes:

¹ A benefit can include, but not limited to; profiting from betting; obtaining an easier draw in the next round of competition; prize money; gifts; winning a title; or ranking points.

- i. Active Members:
- ii. Associate members, being organisations whose primary role is not associated with any air sport discipline or other FAI activity;
- iii. Honorary members, being organisations who have had membership bestowed upon them by the Board for a period of time determined by the Board; and
- any other organisation that is registered as a member or affiliate of another Member Organisation; and
- Individual Members, which means individuals who are registered with a Member Organisation. (b)

National Integrity Framework means the National Integrity Framework as developed by Sport Integrity Australia consisting of the following five policies:

- Safeguarding Children and Young People Policy; (a)
- (b) Competition Manipulation and Sport Gambling Policy;
- Improper Use of Drugs and Medicine Policy; (c)
- (d) Member Protection Policy; and
- Complaints, Disputes and Discipline Policy. (e)

Participant means:

- Athletes who are registered with or entitled to participate in an Air Sports Organisation or an Air Sport (a) Australia Confederation Activity;
- coaches appointed to train an Athlete or Team in a Relevant Organisation Activity; (b)
- administrators who have a role in the administration or operation or Activity of a Relevant Organisation. (c) including owners, directors, committee members or other persons;
- (d) officials; including referees, umpires, technical officials, or other officials appointed by a Relevant Organisation, or any league, competition, series, Club or Team sanctioned by a Relevant Organisation;
- support personnel, who are appointed in a professional or voluntary capacity by a Relevant (e) Organisation, or any league, competition, series, Club or Team sanctioned by a Relevant Organisation including sports science sports medicine personnel, team managers, agents, selectors, and team staff members.

Policy means this Competition Manipulation and Sport Gambling Policy and any appendices.

Relevant Organisation means any of the following organisations:

- Air Sport Australia Confederation;
- (b) Member Organisations; or
- any other organisation who has agreed to be bound by the National Integrity Framework and/or the (c) Relevant Policies.

Relevant Person means any of the following persons:

- Individual Member; (a)
- (b) Participant;
- (c) Employee;
- (d) Contractor;
- Volunteer; and (e)
- any other individual who has agreed to be bound by the National Integrity Framework and/or Relevant (f) Policies.

Team means a collection or squad of Athletes, registered with a Relevant Organisation or entitled to participate in an Activity.

Use of Inside Information means using Inside Information for betting purposes.

Volunteer means any person engaged by a Relevant Organisation in any capacity who is not otherwise an Employee or Contractor, including directors and office holders, coaches, officials, administrators and team and support personnel.

Wagering Service Provider means any company or other undertaking that promotes, brokers, arranges or conducts any form of wagering activity in relation to Air Sports.

Any capitalised term not defined in this Policy has the meaning given to it in the Complaints, Disputes and Discipline Policy.

3. Jurisdiction

This Policy applies to:

- (a) Relevant Persons; and
- (b) Relevant Organisations.

4. Prohibited Conduct

4.1 Prohibited Conduct

A Relevant Person commits a breach of this Policy when they, either alone or in conjunction with another or others, engage in any of the following conduct:

- (a) Participate (whether by act or omission) in improperly altering the result or the course of an Activity in order to remove all or part of the unpredictable nature of the Activity to obtain a Benefit for themselves or others including by²:
 - the direct, pre-meditated or planned interference with the natural course of an Activity or element of an Activity³;
 - ii. providing modified or false information related to an athlete's identity or personal information;
 - iii. intentionally modifying playing surfaces, equipment or athlete's physiology to improperly influence the natural course of the event⁴:
 - iv. intentionally misrepresenting, either by deed or omission, the existence or extent of their skills and/or the nature of their eligible impairment relevant to a Para-sport⁵.
- (b) **[Option 1]** Place, or facilitate or assist with the making of⁶, a bet, or enter into any other form of financial speculation, on any Activity, or on any incident or occurrence in an Activity, which they have a direct or indirect connection to⁷. For the avoidance of doubt:

² 'Improperly' in this context means *not* in accordance with standard sets of behaviour accepted in the participant's sport. Some practices in a sport may, when applying a strict interpretation without context, be seen as Prohibited Conduct, however, given the behaviour is culturally acceptable among participants and spectators of the sport, this conduct is, therefore, deemed not 'improper'. Refer to Appendix A: Examples of Prohibited Conduct for examples of acceptable and improper practices.

³ Examples may include, but not limited to, intentionally conceding points, pre-arranging the outcome of a competition, deliberate underperformance (also known as 'tanking') in any manner (through selections or not playing to a person's merits), influencing athlete selections and strategy, or intentional unfair or incorrect officiating. Refer to Appendix A: Examples of Prohibited Conduct for examples.

⁴ For the avoidance of doubt, this does not include any matters dealt with under other relevant policies relating to anti-doping, eligibility, gender identity or selection criteria.

⁵ Intentional Misrepresentation may occur prior to, during athlete evaluation and/or at any point after the allocation of sport class. It may include an athlete or athlete support personnel attempting to deceive or mislead a classifier, classification panel, an International Federation (IF), National Paralympic Committee (NPC) or National Sporting Organisation (NSO).

⁶ Facilitating or assisting includes communicating in any way, including but not limited to in-person communication, using a mobile phone, computer or other electronic device, information that might give another person an unfair advantage if they were to engage in betting related to that information, other than as required as part of their official duties.

⁷ For the purposes of part 4.1(b), the words 'directly or indirectly connected to an Activity' are to be given the broadest possible meaning. Refer to Appendix B: Examples of Direct and Indirect Connections for a Relevant Person for examples of direct and indirect connections.

- i. any bets placed by a betting syndicate or group, such as a 'punter's club', of which the Relevant Person is a member;
- ii. an interest in any bet, including having someone else place a bet on their behalf; or
- iii. allowing another person to place a bet using a Relevant Person's account,

shall be treated as if the bet was placed by the Relevant Person as an individual;

- (c) Disclosure of Inside Information or Use of Inside Information, other than as required as part of their official duties;
- (d) Accept, request, seek, offer, or provide a Benefit to incite, cause or contribute to any breach of this Policy, or which might reasonably be expected to bring the Relevant Person, Relevant Organisation, or Air Sports into disrepute;
- (e) Facilitate, assist, aid, abet, encourage, induce, cover-up or be complicit in any Prohibited Conduct;
- (f) Agree, conspire, plan or attempt to engage in any conduct which would be Prohibited Conduct if successful; or
- (g) Fail to promptly report, to the fullest extent of their knowledge (or of which they ought to have been reasonably aware) to the Air Sport Australia Confederation or responsible Relevant Organisation if they:
 - (i) are interviewed as a suspect, charged, or arrested by a law enforcement body in respect of conduct that falls within the definition of Prohibited Conduct⁸;
 - (ii) have been approached by another person, whether or not that person is bound by this policy, to engage in Prohibited Conduct;
 - (iii) know or reasonably suspect, that another person has engaged in Prohibited Conduct, or has been approached to engage in Prohibited Conduct;
 - (iv) have, or is aware or reasonably suspects that another person has, received actual or implied threats of any nature in relation to any past or proposed Prohibited Conduct; or
 - (v) have or obtain any new knowledge or suspicion regarding any possible Prohibited Conduct under this Policy, even if the Relevant Person's prior knowledge or suspicion has already been reported.

5. Reporting and Complaints

- (a) Allegations of Prohibited Conduct under this Policy should be submitted to Air Sport Australia Confederation.
- (b) Allegations of Prohibited Conduct under this Policy will be managed in accordance with the Air Sport Australia Confederation Complaints, Disputes and Discipline Policy.
- (c) Notification by a Relevant Person under clause 4.1(g) may be made verbally or in writing by the Relevant Person and may be made anonymously if there is a genuine concern of reprisal. A Relevant Person who makes a report anonymously is responsible for keeping a record that will allow them to confirm that they have met their obligations under clause 4.1(g).

6. Other Matters

6.1 Education

- (a) In order to prevent breaches of this policy, build positive behaviours in sport and protect participants from competition manipulation and associated sports gambling threats, Air Sport Australia Confederation is responsible for developing and implementing an education plan addressing the content and subject matter of this Policy.
- (b) Air Sport Australia Confederation should engage Sport Integrity Australia to assist in the design, implementation, and maintenance of the education plan and to determine priority education groups and associated interventions.

⁸ This does not apply if the reporting is not permitted by law.

- A Relevant Organisation may, from time to time, direct certain Participants to undertake education. (c) which will be relevant and proportionate to their level of participation in Air Sports and the associated integrity risks.
- Sport Integrity Australia has developed a range of education resources and training material to support (d) the National Integrity Framework which can be found here.

Information sharing 6.2

- A Relevant Organisation may share information (including Personal Information as defined in the Privacy Act 1988 (Cth)) at any time relating to Relevant Persons with Wagering Service Providers, law enforcement agencies, government agencies or other sporting organisations to prevent, identify and investigate alleged Prohibited Conduct, or to comply with relevant state and territory or national regulation or legislation, subject to the Privacy Act.
- (b) A Relevant Organisation may share information they receive relating to competition manipulation and sports betting with Sport Integrity Australia to enable Sport Integrity Australia to effectively perform its functions.
- In sharing information, Relevant Organisations will remain bound by the legal obligations contained in (c) the Privacy Act 1988 (Cth) and the Relevant Organisation's Privacy Policy.
- Relevant Persons must disclose information to Air Sport Australia Confederation regarding all of their (d) commercial agreements, interests, and connections with Wagering Service Providers. For the avoidance of doubt, this does not include the disclosure of accounts that Relevant Persons may hold with Wagering Service Providers.
- A Relevant Organisation may request information from Wagering Service Providers to identify incidents (e) of suspicious or prohibited betting transactions (including single or multiple betting transactions or market fluctuations) that may indicate or tend to indicate that Relevant Persons have engaged in Prohibited Conduct under this Policy.
- (f) To enable the Wagering Service Provider to provide such information, a Relevant Organisation may, from time to time and subject to any terms and conditions imposed by a Relevant Organisation (including in relation to confidentiality and privacy), provide to Wagering Service Providers details of Relevant Persons who are precluded by this Policy from engaging in Prohibited Conduct.
- All requests for information or provision of information by a Relevant Organisation or a Wagering (g) Service Provider shall be kept strictly confidential and shall not be divulged to any third party or otherwise made use of, except where required by law or by this Policy, is permitted by the responsible Relevant Organisation or Wagering Service Provider, or where information is already in the public domain other than because of a breach of this Policy.

Appendix A: Examples of Prohibited Conduct

- 1. For the purposes of clause 4.1(a)i. of this Policy, examples of Prohibited Conduct whereby a Relevant Person participates (whether by act or omission) in improperly altering the result or the course of an Activity in order to remove all or part of the unpredictable nature of the Activity to obtain a Benefit for themselves or others include, but are not limited to:
 - intentionally conceding points; (a)
 - pre-arranging the outcome, or the course of a competition, including through influencing athlete (b) selections and strategy:
 - deliberate underperformance (also known as 'tanking') in any manner (through selections or not (c) playing to a person's merits), including for the intention to progress to an easier draw, or for the intention of benefiting a 'friendly' opponent;
 - intentional unfair or incorrect officiating. (d)
- 2. However, 'improperly' in this context means not in accordance with standard sets of behaviour accepted in Air Sports. Some practices in Air Sports may, when applying a strict interpretation without context, be seen as Prohibited Conduct; however, that conduct is in accordance with standard sets of behaviour accepted in Air Sports. The following does not constitute Prohibited Conduct, include, but are not limited to:
 - drafting, for example, in an open water swimming race or in a triathlon race; (a)
 - (b) selecting specific athletes for a qualification event to maximise entry quotas of the associated club or representative team/squad;
 - (c) resting players/athletes for a legitimate competitive objective such as the development of the team or other players, or the management of player fatigue or injuries;
 - resting players/athletes from a match or race of a competition to maximise a team performance in the (d) finals; or
 - (e) not selecting a player for an entire series or tour to provide them with an extended rest period in preparation for a future series or tour.

Appendix B: Examples of Direct and Indirect Connections for a Relevant Person

- 1. A Relevant Person has a direct connection to an Activity if they are:
 - (a) an Athlete participating/competing in the Activity;
 - (b) a coach or support personnel of a Team or Athlete participating in the Activity;
 - a trainer/para-medical of a Team or Athlete participating in the Activity; (c)
 - (d) a referee, umpire, technical official, judge, or official, of the Activity appointed by a Relevant Organisation;
 - An Employee, Contractor, or Volunteer involved in the organisation of the Activity. (e)
- A Relevant Person has an indirect connection to an Activity if (but not limited to): 2.
 - the Activity is a single race, match, or competition the Relevant Person does not directly participate in: (a) however, it is a part of a series, tournament, or season competition, of which the Relevant Person participates in, or is directly connected to. For example, if a Relevant Person's team competes in the state Air Sports series which involves another nine teams in a 10-round draw, that Relevant Person is prohibited from betting on any match in the entire state Air Sport series.
 - (b) they are an Employee, Contractor, or Volunteer with a Relevant Organisation organising the Activity. For example, if the Relevant Person is an Employee, Contractor, or Volunteer of Air Sport Australia Confederation and the Air Sport Australia Confederation organises the national championships, the Relevant Person is prohibited from betting on any competition, match, or race of that national championships.
 - (c) the Activity includes participants competing on behalf of a Relevant Organisation and the Relevant Person is an Employee, Contractor, or Volunteer of that Relevant Organisation. For example, if a regional representative team is competing at the state championships, an Employee, Contractor, or Volunteer of the relevant regional association is prohibited from betting on <u>any</u> Activity at those state championships.

For the avoidance of doubt, simply being an Individual Member of a Relevant Organisation does not imply an indirect relationship to the Activity. For example, an Individual Member of a Member Organisation, in the absence of any direct or indirect connection, is not prohibited from betting on an Activity that includes state or national Participants.